

# DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **EXPRESSION OF IMMUNOGLOBULIN-CYTOKIN FUSION PROTEINS IN MALIGNANT B CELLS**

the specification of which x is attached hereto or \_\_\_\_\_ was filed on \_\_\_\_\_ as Application No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
Germany	197 16 892.2	April 22, 1997	Yes <u>x</u> No _____
			Yes _____ No _____

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
		____ Patented ____ Pending ____ Abandoned
		____ Patented ____ Pending ____ Abandoned

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

M. Henry Helms, Reg. No. 28,219

J. Georg Seka, Reg. No. 24,491

Send Correspondence to:  
**M. Henry Helms**  
**TOWNSEND and TOWNSEND and CREW LLP**  
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 (Name, Reg. No., Telephone No.)

Name: **M. Henry Helms**  
 Reg. No. **28,219**  
 Telephone: **415-576-0200**

Full Name of Inventor 1	Last Name MOCHKAT	First Name Ralph	Middle Name or Initial	
Residence & Citizenship	City München	State/Foreign Country Germany	Country of Citizenship Germany	
Post Office Address	Post Office Address Johann-Clauss-Str. 53	City München	State/Country Germany	Zip Code D-81369
Full Name of Inventor 2	Last Name	First Name	Middle Name or Initial	
Residence & Citizenship	City	State/Foreign Country	Country of Citizenship	
Post Office Address	Post Office Address	City	State/Country	Zip Code
Full Name of Inventor 3	Last Name	First Name	Middle Name or Initial	
Residence & Citizenship	City	State/Foreign Country	Country of Citizenship	
Post Office Address	Post Office Address	City	State/Country	Zip Code

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1 <i>Dr. Ralph Mochkat</i> Ralph Mochkat	Signature of Inventor 2	Signature of Inventor 3
Date 20. 4. 1998	Date	Date



080306-00000005  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

SEPTEMBER 23, 1998

PTAS  
TOWNSEND AND TOWNSEND AND CREW LLP  
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\*100765970A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION  
OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
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SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/22/1998

REEL/FRAME: 9304/0209

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MOCIKAT, RALPH

DOC DATE: 05/26/1998

ASSIGNEE:

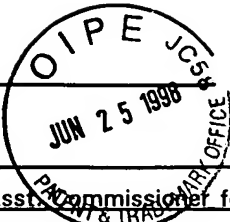
GSF-FORSCHUNGSZENTRUM FUR UMWELT  
UND GESUNDHEIT  
INGOLSTADTER LANDSTRASSE 1  
OBERSCHLEISSHEIM, FED REP GERMANY

D-85764

SERIAL NUMBER: 09064026  
PATENT NUMBER:

FILING DATE: 04/21/1998  
ISSUE DATE:

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



07-16-1998

D \$  
Attorney Docket No. 80306

FORM PTO-1595  
(Rev. 6-93)



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Assistant Commissioner for Patents and Trademarks 100765970  
Original documents or copy thereof.

1. Name of conveying party(ies):

Ralph Mocikat

2. Name and address of receiving party(ies):

Name:

GSF-Forschungszentrum für Umwelt  
und Gesundheit

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Internal Address:

Street Address: Ingolstadter Landstrasse 1

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other:

City: Oberschleissheim Country: Germany ZIP: D-85764

Execution Date: May 26, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/064,026

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: M. Henry Heines  
TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00



Enclosed



Charge Fees to Deposit Account



Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

8. Deposit account number: 20-1430

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

M. Henry Heines

Name of Person Signing

Signature

June 22, 1998

Date

Atty. Reg. No. 28,219

Total number of pages including cover sheet, attachments, and document: 2

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

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ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Ralph Mocikat of Johann-Clanze-Str. 53, München, D-81369 Germany

hereinafter referred to as "Assignor", is the inventor of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **EXPRESSION OF IMMUNOGLOBULIN-CYTOKIN FUSION PROTEINS IN MALIGNANT B CELLS**

Date(s) of execution: \_\_\_\_\_

Filing date: April 21, 1998 Serial No.: 09/064,026; and

WHEREAS, GSF-Forschungszentrum für Umwelt und Gesundheit of Ingolstädter Landstraße 1, D-85764, Oberschleißheim, Germany

hereinafter referred to as "Assignee", is desirous of acquiring Assignor's interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his right, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that he will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has signed his name on the date indicated.

Date: 26.5.1998 R. Mocikat

Date: \_\_\_\_\_